



# Certificate in ESG Management Program by SET & Sasin

# Self-pace online learning program

## **M1** Module 1 ESG Fundamentals

- S1** Session 1 Introduction to ESG
- S2** Session 2 ESG Management: Environment
- S3** Session 3 ESG Management: Social
- S4** Session 4 ESG Management: Governance

## **M2** Module 2 ESG Integration Management

- S1** Session 1 ESG Integration Management
- S2** Session 2 Integration - Case Studies

## **M3** Module 3 ESG Disclosure

- S1** Session 1 ESG Disclosure
- S2** Session 2 Data Management
- S3** Session 3 ESG Performance Assessment and Indices

# M3S3

## Module 3 ESG Disclosure

### Session 3 ESG Performance Assessment and Indices



# Self-pace online learning program



## **M1S1**

Module 1 ESG Fundamentals  
Session 1 Introduction to ESG

## **M1S2**

Module 1 ESG Fundamentals  
Session 2 ESG Management: Environment

## **M1S3**

Module 1 ESG Fundamentals  
Session 3 ESG Management: Social

## **M1S4**

Module 1 ESG Fundamentals  
Session 4 ESG Management: Governance

## **M2S1**

Module 2 ESG Integration Management  
Session 1 ESG Integration Management

## **M2S2**

Module 2 ESG Integration Management  
Session 2 Integration - Case Studies

## **M3S1**

Module 3 ESG Disclosure  
Session 1 ESG Disclosure

## **M3S2**

Module 3 ESG Disclosure  
Session 2 Data Management

## **M3S3**

Module 3 ESG Disclosure  
Session 3 ESG Performance Assessment and Indices



# Learning Objectives

Course Structure	Learning Objectives
<b>Module 3 ESG Disclosure</b> <b>Session 3 ESG Performance Assessment and Indices</b>	
1. Local ESG Assessment and Indices	<p>The learner will be able to:</p> <p><b>Explain</b> the fundamental concepts of ESG Development assessments and indices in the Thai capital market, such as the CGR, SET ESG Rating, and SET ESG Index. These tools are used to categorize and rank listed companies that have made strides towards business sustainability. This helps listed companies identify development gaps and provides valuable insights for investors making informed decisions about companies with strong ESG performance.</p>
2. Global ESG Assessment and Indices	<p><b>Describe</b> the foundational concepts of international ESG assessments and indices, such as the DJSI, FTSE4GOOD, and MSCI ESG. This includes an understanding of their evaluation processes and criteria.</p>
3. Sustainable Investment	<p><b>Explain</b> the origins and driving factors behind the growing demand for ESG products, which is motivating listed companies to enhance their ESG Development. Additionally, discuss various forms of sustainable investments, such as Green Bonds, which are debt securities raised to fund projects addressing climate change and environmental conservation.</p>

# Instructor

## M3S3

Module 3 ESG Disclosure

Session 3 ESG Performance Assessment and Indices

# Instructor

Warangkana Pattarasen



## M3S3

### Module 3 ESG Disclosure

#### Session 3 ESG Performance Assessment and Indices

- 1. Local ESG Assessment and Indices**
2. Global ESG Assessment and Indices
3. Sustainable Investment

# ESG Performance Assessment

## The Importance of ESG Assessment

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Supports investment decision-making by evaluating risks and opportunities associated with ESG factors.

Serves as a tool for analyzing and assessing the adequacy of managing Material Issues, ensuring that companies address key sustainability challenges effectively.

Facilitates communication on the management of Material Issues that stakeholders are concerned with, helping to build confidence that the organization takes stakeholder expectations seriously

# ESG Indices

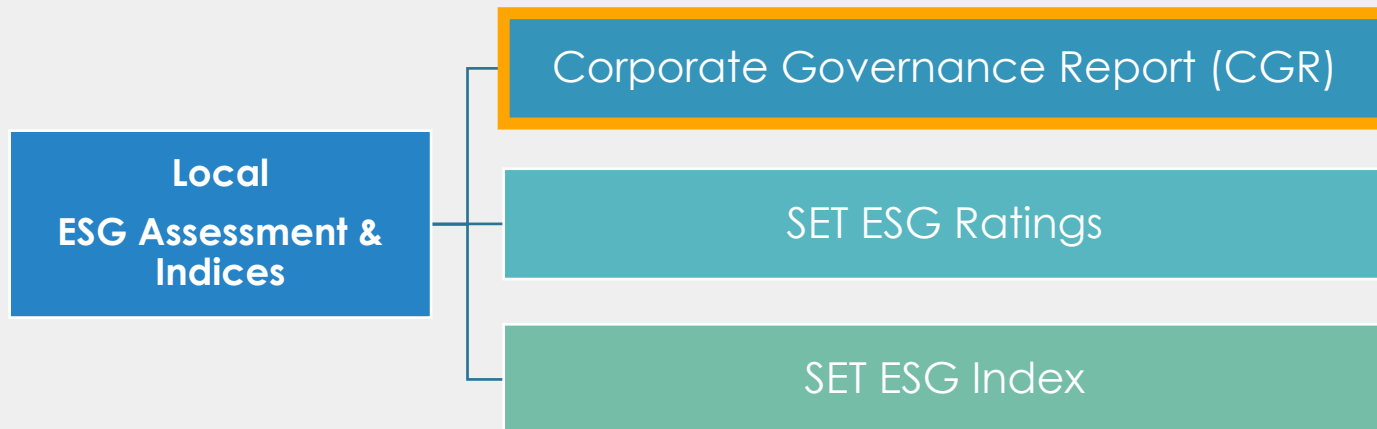
## The Importance of ESG Indices

- Provides a benchmark for comparing ESG performance across companies.
- Helps investors make informed decisions on portfolio allocation by considering ESG factors.
- Tracks the performance of ESG-focused stocks, offering insights into sustainability-led business practices.
- Signals investment trends that prioritize ESG, guiding market behavior towards sustainable investments.

# Local ESG Assessment and Indices

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## ESG Assessments and Indices in the Thai Stock Market





# Corporate Governance Report (CGR)

A project survey corporate governance of listed companies

Conducted by the Thai Institute of Directors (IOD)

The objectives of the Corporate Governance Report (CGR) are as follows:

1. Measure and monitor the development of corporate governance (CG), including sustainability aspects related to stakeholders, society and the environment of Thai listed companies
2. Serve as a tool for listed companies to enhance their operation in corporate governance, social responsibility, and environmental sustainability.
3. Provide data for regulatory bodies to formulate policies and regulations related to corporate governance, social responsibility, and environmental issues.
4. Act as a preliminary criterion for selecting listed companies for the SET Awards and SET ESG Ratings.



# The CGR Assessment Process

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## Input

Listed companies complete the CGR Checklist using publicly disclosed documents, such as:

- One Report
- Sustainability Development Report
- Annual General Shareholders' Meeting (AGM) Invitation Letter
- AGM Minutes
- Company Bylaws
- Corporate Governance Policy
- Business Ethics/Code of Conduct






## Process



IOD Conducts the CGR Assessment

## Output

IOD announces the CGR results

Star Ratings	Total Score
	> 80
	70-79
	60-69
	50-59
	40-49

# Structure of the CGR Checklist Assessment (1/4)

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## Category 1: Shareholders' Rights and Equitable Treatment of Shareholders



Protection of Shareholders' Rights



Safeguarding and Ensuring Non-Infringement  
of Shareholders' Rights



Prevention of Conflicts of Interest  
between Directors and Executives

# Structure of the CGR Checklist Assessment (2/4)

14



## Category 2: Consideration of Stakeholder Roles and Sustainable Business Development



Driving Business  
Towards Sustainability



Sustainability Management  
in Environmental Aspects



Responsibility to Stakeholders



Sustainability Management  
in Social Aspects



Innovation Development

# Structure of the CGR Checklist Assessment (3/4)

15



## Category 3: Disclosure and Transparency



Disclosure of Key Information: Financial Data



Basic Business Information



Channels for Communicating Important Information to Investors

# Structure of the CGR Checklist Assessment (4/4)

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## Category 4: Board Responsibilities



Board Structure



Oversight Function of the Board  
and its Sub-Committees



Self-Assessment of the Board  
and its Sub-Committees



Board Development



# Channels for Monitoring CG Information (1/2)

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## PROJECTS



Corporate Governance Report of Thai Listed Companies 2024

Corporate Governance Report of Thai Listed Companies 2024

สถาบันกรรมการบริษัทไทย จัดทำการสำรวจตามโครงการสำรวจการกำกับดูแลกิจการบริษัทจดทะเบียน ประจำปี 2567 (CGR 2024) เพื่อทำการสำรวจและติดตามพัฒนาการด้านการกำกับดูแลกิจการของบริษัทจดทะเบียนในประเทศไทย โดยใช้หลักเกณฑ์ในการประเมินที่พัฒนามาจากหลักการกำกับดูแลกิจการที่ดีของ Organization for Economic Co-operation and Development (OECD) หลักการกำกับดูแลกิจการที่ดีของตลาดหลักทรัพย์แห่งประเทศไทย หลักการกำกับดูแลกิจการที่ดีสำหรับบริษัทจดทะเบียน ปี 2560 (CG Code) ของสำนักงานคณะกรรมการ ก.ล.ด. หลักเกณฑ์ของโครงการ ASEAN CG Scorecard รวมถึงมีการเพิ่มเติมสาระให้สอดคล้องกับแบบ 56-1 One Report ของสำนักงานคณะกรรมการ ก.ล.ด. และแนวปฏิบัติที่ดีของคณะกรรมการ (Boardroom Guidelines) ของ IOD เข้ามาด้วย และจะนำเสนอผลการประเมินในภาพรวมเพื่อให้อบริษัทจดทะเบียนโดยทั่วไปได้ใช้เป็นแนวทางในการพัฒนาการกำกับดูแลกิจการของบริษัท รวมทั้งเป็นแนวทางสำหรับองค์กรที่เกี่ยวข้องในการพิจารณากำหนดนโยบายและกฎระเบียบที่เกี่ยวข้องกับการกำกับดูแลกิจการเพื่อทำให้เกิดการพัฒนาการกำกับดูแลกิจการที่ดีในประเทศไทยต่อไป

โดยจัดเรียงข้อมูลตามรายละเอียดด้านล่างนี้:

1. [จดหมายประชาสัมพันธ์ขอความร่วมมือโครงการ CGR ประจำปี 2567](#)
2. [คู่มือใช้งานระบบ CGR Online Checklist 2024](#)
3. [จดหมายแจ้งแนวปฏิบัติในการทำการประเมินและประกาศผลโครงการ CGR ประจำปี 2565](#)
4. [แบบฟอร์ม CGR Online Checklist 2024\\_PDF](#)
5. [แบบฟอร์ม CGR Online Checklist 2024\\_Excel](#)

[www.thai-iod.com](http://www.thai-iod.com)

**Updating Assessment Results:** Approximately every October each year

# Channels for Monitoring CG Information (2/2)

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## ESG Assessment

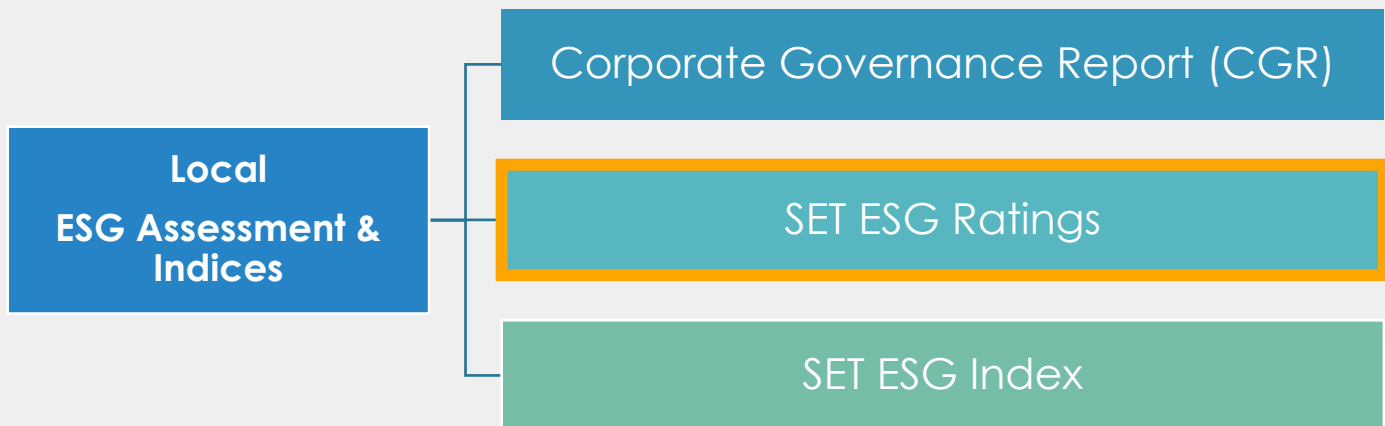
Favourite Stock Favourite Query   

View By :    
   
CG Report :    
SET ESG Ratings :

Updating Assessment Results: Approximately every October each year

Symbol	Industry	Sector	Company Name	CG Report 2023 (As of 15/11/2023)	SET ESG Ratings 2023 (As of 06/11/2023)	CAC Anti-Corruption Certification	Form 56-1 One Report
2S	INDUS	STEEL	2S METAL PUBLIC COMPANY LIMITED	4	-	Yes	<a href="#">One Report</a>
3BBIF	TECH	ICT	3BB INTERNET INFRASTRUCTURE FUND	-	-	-	<a href="#">One Report</a>
3K-BAT	INDUS	AUTO	THAI ENERGY STORAGE TECHNOLOGY PUBLIC COMPANY LIMITED	-	-	-	<a href="#">One Report</a>
A	PROPCON	PROP	AREEYA PROPERTY PUBLIC COMPANY LIMITED	-	-	-	<a href="#">One Report</a>
AAI	AGRO	FOOD	Asian Alliance International Public Company Limited	4	-	Yes	<a href="#">One Report</a>
AAV	SERVICE	TRANS	ASIA AVIATION PUBLIC COMPANY LIMITED	5	-	-	<a href="#">One Report</a>
ACC	RESOURC	ENERG	ADVANCED CONNECTION CORPORATION PUBLIC COMPANY LIMITED	-	-	-	<a href="#">One Report</a>
ACE	RESOURC	ENERG	ABSOLUTE CLEAN ENERGY PUBLIC COMPANY LIMITED	5	AA	-	<a href="#">One Report</a>
ACG	INDUS	AUTO	AUTOCORP HOLDING PUBLIC COMPANY LIMITED	5	-	-	<a href="#">One Report</a>
ACOM	TECH	ICT	aCommerce Group Public Company Limited	-	-	-	<a href="#">One Report</a>
ACPG	FINCIAL	FIN	Alpha Capital Partners Group Public Company Limited	-	-	-	<a href="#">One Report</a>

## ESG Assessments and Indices in the Thai Stock Market



# SET ESG Ratings



## What is SET ESG Rating?

Companies listed on the Stock Exchange of Thailand (SET) and the Market for Alternative Investment (MAI) that prioritize Environmental, Social, and Governance (ESG) aspects alongside maintaining strong financial performance.



## Results Announcement

Public Assessment Results Announcement: December

### 4 ระดับ SET ESG Ratings

# AAA

คะแนน 90 - 100

# AA

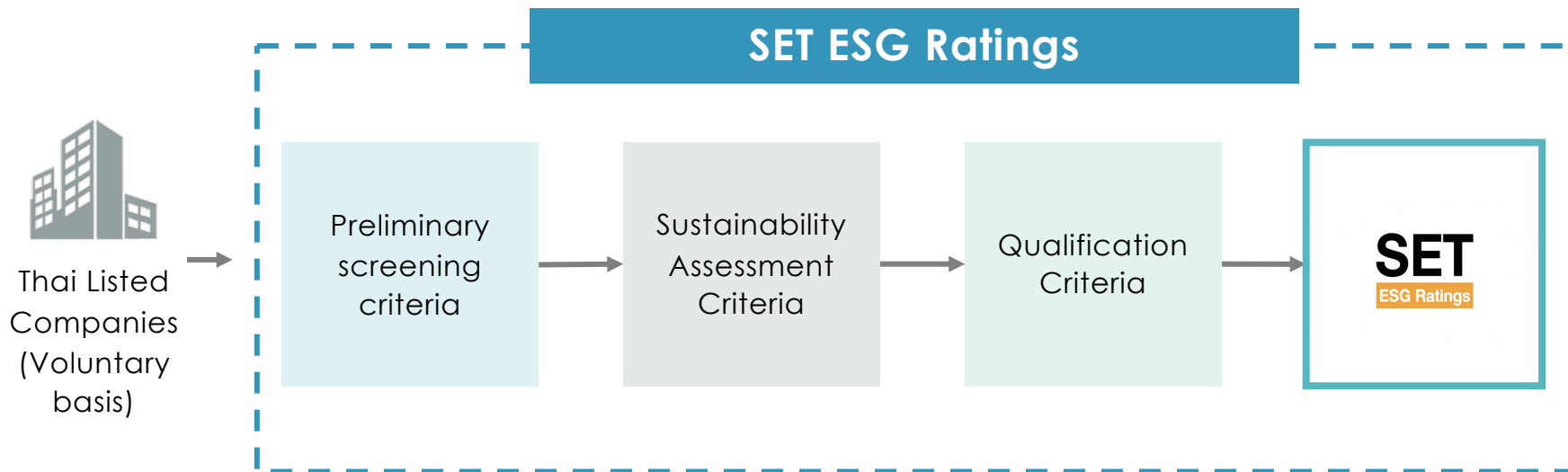
คะแนน 80 - 89

# A

คะแนน 65 - 79

# BBB

คะแนน 50 - 64



# SET ESG Ratings

## Preliminary Screening Criteria

The listed companies are able to participate in the sustainability assessment.

### Example of Criteria:

- Must not be a company that can potentially be delisted, or in the process of requesting voluntary delisting.
- Must not be a company that Distributes shareholding (Free Float) where there are fewer than 150 shareholders or total shares of lower than 15% of paid-up capital.
- Must not be a company that has been suspended from securities trading due to a delay in the submission of its financial statement.
- Must not be a company that saw irregular securities trading behavior exhibited by its board members and executives, or must not be a company with board members and executives who have untrustworthy qualifications according to the Notification of the SEC.



## Sustainability Assessment Criteria

Selected from the list of those that voluntarily responded to SET's sustainability assessment and received a score of at least one half (50%) of the total score in each dimension (Economic, environment and Social)

### Example of criteria:

- Must be a company that received a score of 3 stars and above from the Corporate Governance Report (CGR)
- Must not be a company that has been accused of or formally judged as guilty by officials or relevant agencies, or whose directors or executives have been accused of or formally judged as guilty by officials or relevant agencies on matters of corporate governance and social and environmental impact.
- Must not be a company that had a proportion of shareholding below zero during the latest financial statements.
- Must not be a company that has fewer than 3 out of 5 years of reported net income, counting from the latest financial statements.
- The Sustainable Investment Committee may consider other issues relating to corporate governance and social and environmental impact in their selection of companies for SET ESG Ratings.

## Framework for Assessing the Sustainability of Listed Companies

### Policy



**Evaluate** policies that address Environmental, Social, and Governance (ESG) issues, ensuring alignment with stakeholder expectations and organizational strategies.

### Process



**Assess** the setting of ESG targets and operational processes within normal business operations to ensure they align with established policies.

### Performance



**Evaluate** the processes for tracking, assessing, and measuring ESG performance to ensure alignment with targets and promote continuous improvement.

### Disclosure



**Ensure** transparent and continuous disclosure of ESG information to stakeholders.

## Sustainability Assessment Questionnaire

- Be comprised of questions in General Questions and Industry-specific Questions covering 3 dimensions: Governance and Economic, Environmental, and Social.
- Be designed to align with the context and material issues of each of the 8 industry groups.

Dimension	Criteria	Industry Group							
		AGRO	CONSUMP	FINCIAL	INDUS	PROPCON	RESOURC	SERVICES	TECH
Governance and Economic	Corporate Governance	●	●	●	●	●	●	●	●
	Code of Conduct and Anti-Corruption	●	●	●	●	●	●	●	●
	Risk and Crisis Management	●	●	●	●	●	●	●	●
	Materiality	●	●	●	●	●	●	●	●
	Customer Relationship Management	●	●	●	●	●	●	●	●
	Supply Chain Management	●	●	●	●	●	●	●	●
	Tax Strategy	●	●	●	●	●	●	●	●
	Business Innovation for Society and/or Environment	●	●	●	●	●	●	●	●
	IT and Information Security*		●					●	●
	Service Quality Management*							●	
Environmental	Product Quality Management*		●						
	Responsible Marketing*		●						
	Environmental Management	●	●	●	●	●	●	●	●
	Operational Eco-efficiency*	●	●	●	●	●	●	●	●
	Climate Strategy	●	●	●	●	●	●	●	●
	Building Materials Quality*				●				
	Product Responsibility*		●		●				●
	Responsible Sourcing*	●							
	Water Related Risk*	●							
	Biodiversity*					●	●		

	Criteria	Industry Group							
		AGRO	CONSUMP	FINCIAL	INDUS	PROPCON	RESOURC	SERVICES	TECH
Social	Human Rights and Fair Labor Practices	●	●	●	●	●	●	●	●
	Human Capital Development	●	●	●	●	●	●	●	●
	Talent Attraction and Retention	●	●	●	●	●	●	●	●
	Occupational Health & Safety*	●	●	●	●	●	●	●	●
	Community Engagement*	●	●	●	●	●	●	●	●
	Stakeholder Engagement	●	●	●	●	●	●	●	●
	Digital Inclusion*								●
	Responsible Finance and Insurance*			●					
	Financial Inclusion*			●					

Note: \*Industry-specific questions

# SET ESG Ratings

1 **settrade**  
www.settrade.com

2 **SET**  
www.set.or.th

3 **SETSMART**  
www.setsmart.com

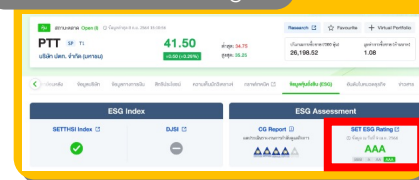
4 **SET** Sustainable Capital Market Development  
www.setsustainability.com

**SET**  
ESG Ratings

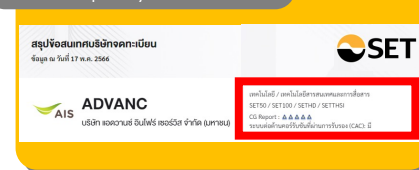
4 Channels  
6 Pages

Display Results by Individual Company

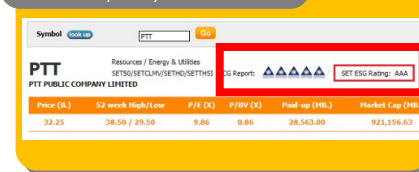
## 1. Individual Stock Page



## 1. Company Factsheet



## 1. Company Factsheet

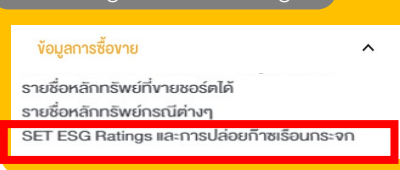


Display Results for All Companies

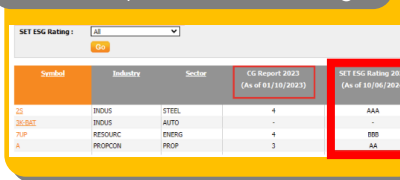
## 2. Summary ESG Assessment Page



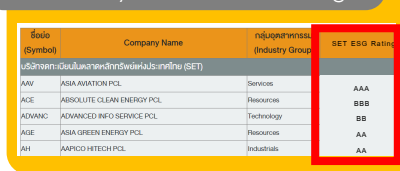
## 2. Trading Information Page



## 2. Summary ESG Assessment Page



## 2. Summary ESG Assessment Page



Note: These are examples of data display only and not actual assessment results.

# SET ESG Assessment Roadmap

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2024-2025



**SET**  
ESG Ratings

- Companies voluntarily complete the assessment questionnaire
- Evaluation based on Public Information + Internal Documents

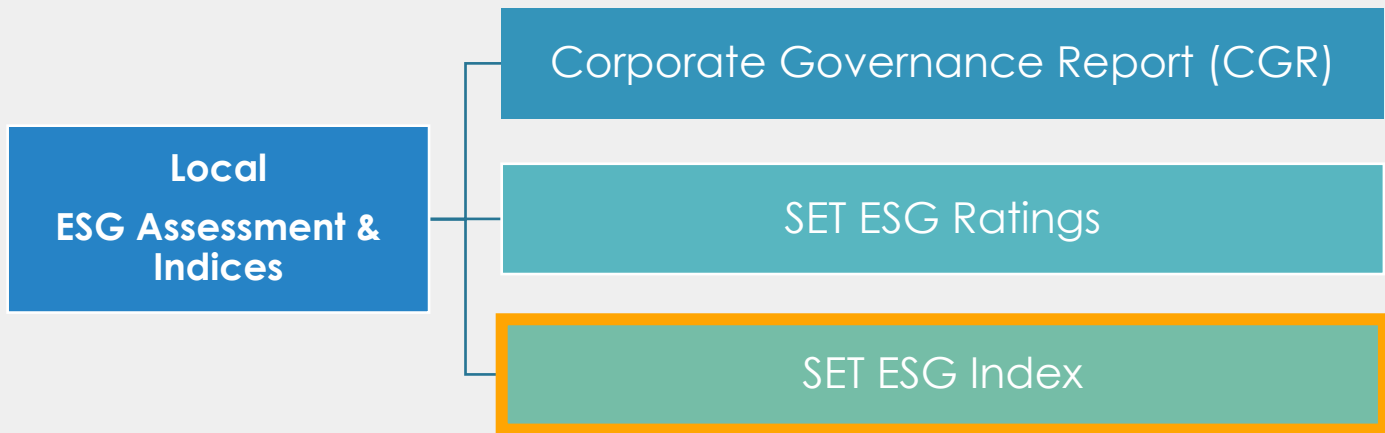
2026 onwards



**SET ESG**  
Assessment

- Elevate the assessment standard from “Local” to “Global Standard”.
- Enhanced visibility and recognition.
- Companies are not required to complete a questionnaire, but there will be a period for companies to review the assessment information.
- Public Info-Based Assessment.

## ESG Assessments and Indices in the Thai Stock Market

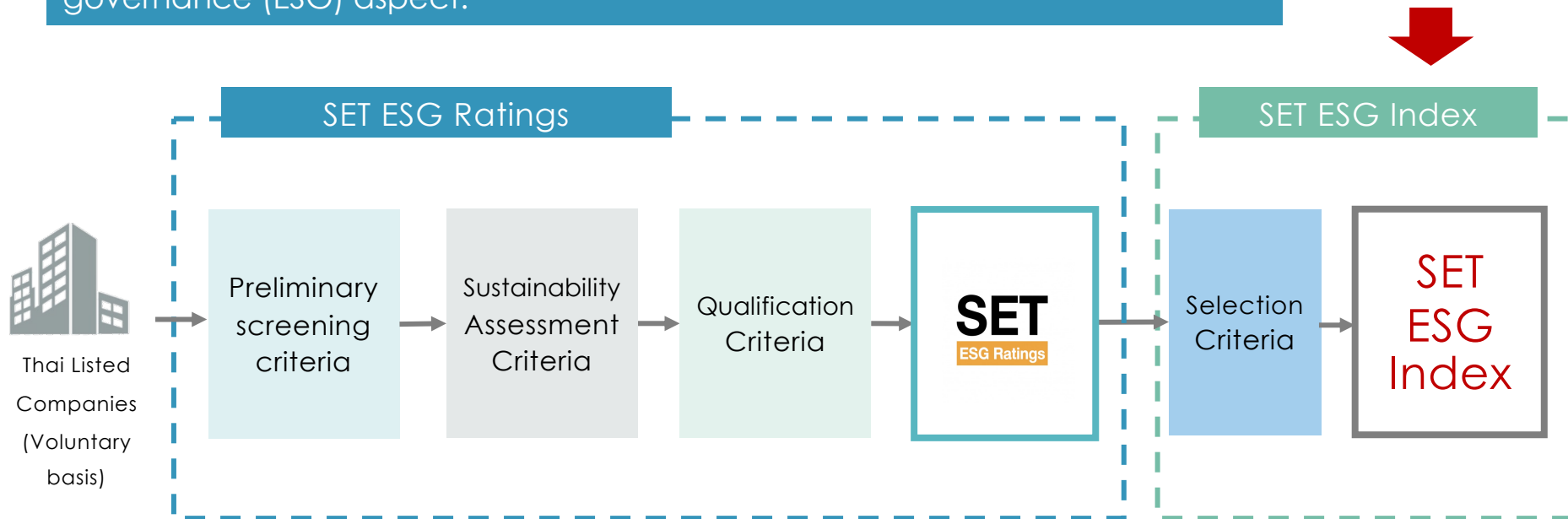




# SET ESG Index

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SET ESG Index reflects the price movement of stock of companies that have sustainable business practices which consider environmental, social and governance (ESG) aspect.



## Selection Methodology


- Constituents are selected from the most recent SET ESG Ratings list prior to the index review period.
- The minimum average daily market capitalization at 5,000 million Baht for the past 3 months
- Free Float of no less than 20% of the listed company's paid-up capital
- a monthly turnover ratio of at least 0.5% of its total listed shares for at least 9 out of the 12 months
- All stocks that have passed the above criteria will become SETESG Index constituents

## Calculation Methodology


- Market capitalization-weighted
- Maximum weight cap at 5%

## Stock Review & Revision

- 6-month period
- December Review: to make effect between January – June of each year
- June Review: to take effect between July – December of each year



Search Symbol / Factsheet

 SET Member

Market Data

Issuer Services

Rules and Regulations

Services

Research

About SET

SETESG Index

SETESG Index Series


< sSET SETCLMV SETHD **SETESG** SETWB mai FTSE SET FTSE ASEAN TRI >

SETESG Monthly Report

### SETESG Index (SETESG)

The SETESG Index reflects the price movement of stock of companies that have sustainable business practices which consider environmental, social and governance (ESG) aspect.

List of Stocks in SETESG



หน้าหลัก > หุ้น > ข้อมูลหุ้นยั่งยืน (ESG Investment) > ดัชนี ESG (ESG Index)

## ข้อมูลหุ้นยั่งยืน (ESG Investment)

รู้จัก ESG ESG Index มาตรฐานประเมิน ESG (Ratings) ESG Knowledge

### ESG Index

จำนวน 299 รายการ

ทั้งหมด

Symbol	Company	Industry / Sector	ESG Index	
			SETESG Index	DJSI
☆ <a href="#">AAV</a>	บริษัท เอเชีย เอโอเอ็น จำกัด (มหาชน)	SERVICE/TRANS	—	—
☆ <a href="#">ACE</a>	บริษัท เอ็มโกลูก คลีน เอ็นเนอร์จี้ จำกัด (มหาชน)	RESOURC/ENERG	✓	—
☆ <a href="#">ADB</a>	บริษัท แอ็พพลาย ดีบี จำกัด (มหาชน)	INDUS/INDUS	—	—
☆ <a href="#">ADVANC</a>	บริษัท แอดวานซ์ อินโฟร์ เซอร์วิส จำกัด (มหาชน)	TECH/ICT	✓	✓
☆ <a href="#">AEONTS</a>	บริษัท อีออน รีเทล จำกัด (มหาชน)	FINANCIAL/FIN	—	—
☆ <a href="#">AGE</a>	บริษัท เอเชีย กรีน เอนเนอจี้ จำกัด (มหาชน)	RESOURC/ENERG	—	—
☆ <a href="#">AH</a>	บริษัท อาบิล ไทเทค จำกัด (มหาชน)	INDUS/AUTO	✓	—
☆ <a href="#">AJ</a>	บริษัท เอ.เจ.พลาสติก จำกัด (มหาชน)	INDUS/PKG	—	—

## M3S3

### Module 3 ESG Disclosure

#### Session 3 ESG Performance Assessment and Indices

1. Local ESG Assessment and Indices
- 2. Global ESG Assessment and Indices**
3. Sustainable Investment

# ESG Rating Industry

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Owned by financial data firms	Independents	Owned by stock markets	Owned by credit raters
<b>Bloomberg</b>	ecovadis	ISS ESG	<b>S&amp;P Global</b> Ratings
 SUSTAINALYTICS	 RepRisk	REFINITIV 	 Sustainable Fitch
MSCI 	 JUST capital.	 FTSE4Good	Moody's ESG Solutions
	 CDP		

# Global ESG Assessment and Indices

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## ESG Rating Industry

Agency	Scoring	Access	# Companies	Updated	Methodology
Bloomberg	100 to 0		11,800+		
CDP	A to D-		9,600+		
FTSE	5 to 0		7,200+		
ISS	A to D-		7,300+		
MSCI	AAA to CCC		14,000+		

Agency	Scoring	Access	# Companies	Updated	Methodology
REFINITIV	100 to 0		9,000+		
RepRisk	AAA to D		207,000+		
S&P Global Ratings	100 to 0		10,000+		
SUSTAINALYTICS	0 to 40+		13,000+		

Public Data or Disclosures

Company Survey

Manual Analyst Review

Qualitative Analyst Feedback

Artificial Intelligence

Company Input

# Comparison of the 3 Main Indices

			 FTSE4Good
 Perspective	Used globally by companies for performance evaluation and by investors for analysis	Utilized globally by investors for analysis	Utilized globally by investors for analysis
 Assessment	Focuses on ESG performance	Focuses on ESG risk management	Focuses on ESG performance
 How to participate	Companies can be invited to join or request to participate if not invited	Companies in the universe are automatically assessed and cannot request to join	Companies in the universe are automatically assessed and cannot request to join
 Information	Assessed using internal documents or publicly disclosed information	Assessed using publicly disclosed documents only	Assessed using publicly disclosed documents only



# S&P Global Corporate Sustainability Assessment

## S&P Global

- Companies will be invited to participate in the CSA each year.
- Companies are invited for the CSA for potential inclusion in the Dow Jones Sustainability Indices (DJSI), as well as other S&P ESG indices.

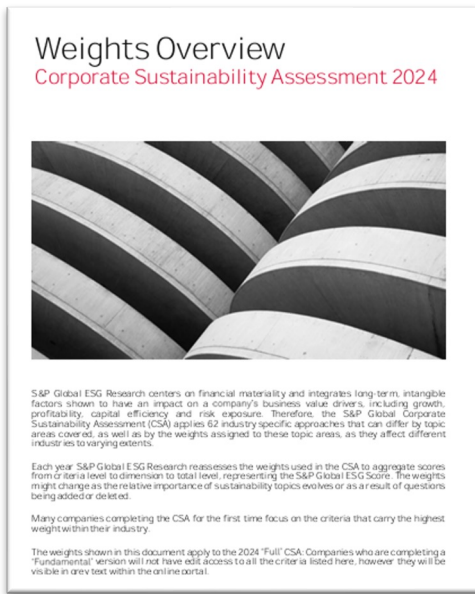
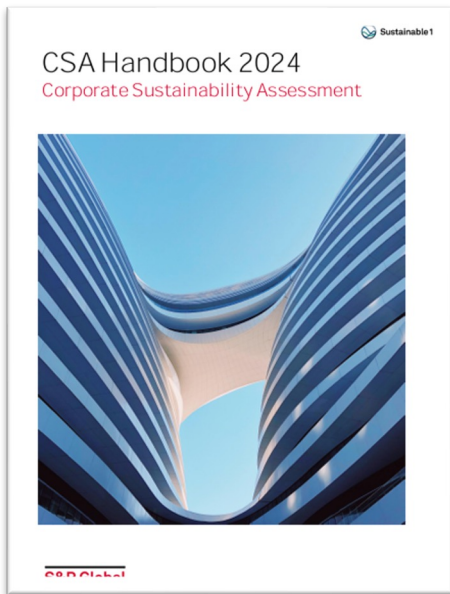
## DJSI Index Family

Classified by Country Group		Classified by Region		Classified by Country	
DJSI World		DJSI North America	DJSI Europe	DJSI Australia	DJSI Korea
DJSI Emerging Markets		DJSI Asia Pacific	DJSI MILA Pacific Alliance	DJSI Chile	

# S&P Global Corporate Sustainability Assessment

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S&P Global



62 Industry-specific questionnaires	23 sustainability topics	110 Questions
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- Scores are ranged from 0 to 100 and percentile rankings.
- The ESG Scores are published on S&P Global professional platforms and scores are available on the ESG Scores website.

# S&P Global: Criteria

## S&P Global



### Economic

#### General

- Corporate Governance
- Materiality
- Risk & Crisis Management
- Code of Business Conduct
- Policy Influence

#### Specific

- Customer Relationship Management
- Supply Chain Management
- Privacy Protection
- Information Security, Cybersecurity & System Availability
- Brand Management
- Innovation Management
- Tax Strategy
- Market Opportunity
- Product Quality and Recall Management
- Marketing Practices
- Health and Nutrition



### Social

#### General

- Social Reporting
- Labor Practice Indicators
- Human Rights
- Human Capital Development
- Talent Attraction and Retention
- Corporate Citizenship and Philanthropy
- Occupational Health and Safety

#### Specific

- Stakeholder Engagement
- Social Integration & Regeneration
- Partnerships Towards Sustainable Healthcare
- Living Wage



### Environmental

#### General

- Environmental Reporting
- Environmental Policy & Management Systems
- Operational Eco-Efficiency
- Climate Strategy

#### Specific

- Water Related Risks
- GMO
- Sustainable Agricultural Practices
- Biodiversity
- Electricity Generation
- Transmission & Distribution
- Building Materials
- Resource Conservation & Resource Efficiency
- Packaging
- Food Loss & Waste

# MSCI ESG Indexes



## MSCI ESG Indexes

MSCI ESG Leaders  
Index

MSCI Low Carbon,  
Climate Change Index

MSCI Global Environmental,  
Women's Leadership  
Impact Index

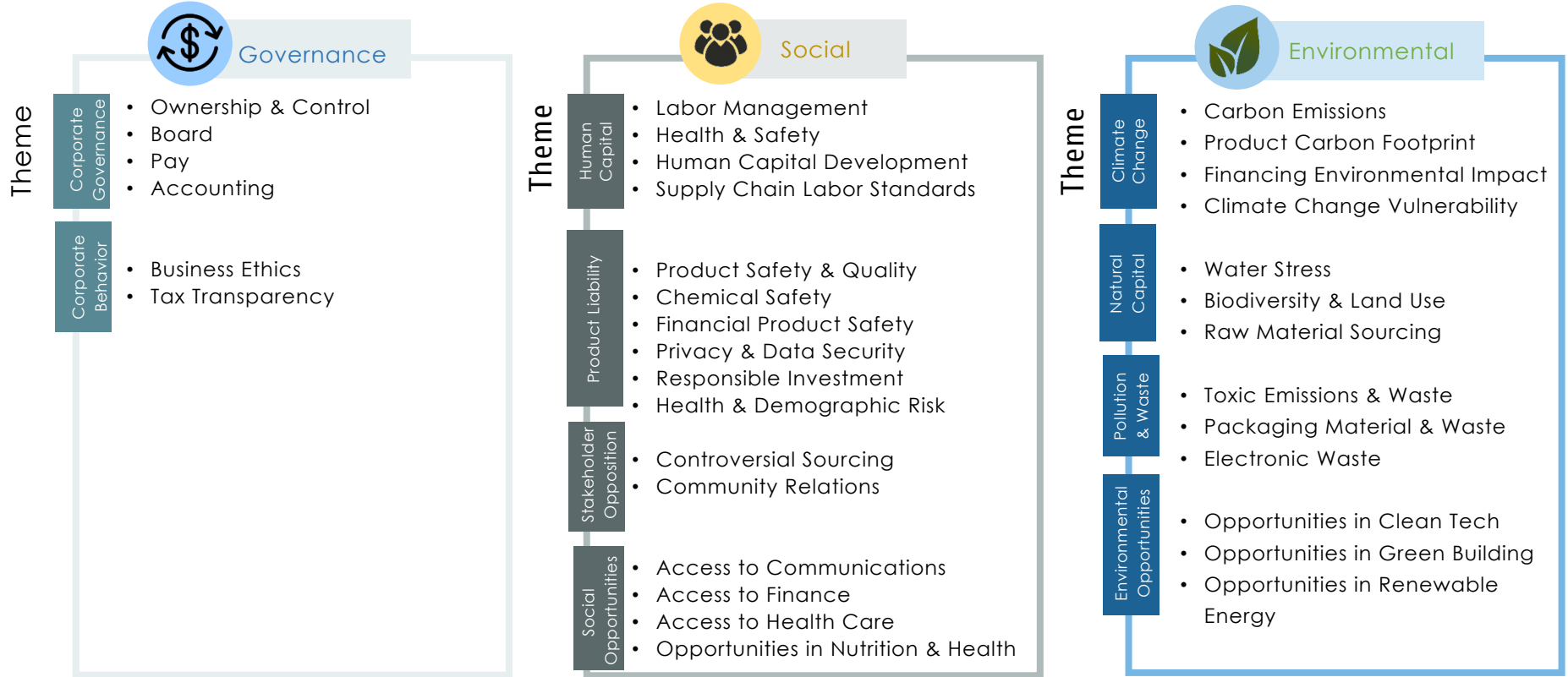
MSCI ESG Universal  
Index

MSCI ESG Screened  
ex Fossil Fuel, Faith  
based Index

MSCI SRI, KLD400 Index

MSCI ESG Focus  
Index

# MSCI ESG: Criteria





FTSE4Good

## FTSE ESG Indexes

### Classified by Country Group

FTSE4Good  
Developed

FTSE4Good  
Developed  
Minimum Variance  
Index

FTSE4Good  
Emerging  
Indexes

FTSE4Good  
All-World Index

### Classified by Region

FTSE4Good Developed  
Asia Pacific Index

FTSE4Good  
Europe Index

FTSE4Good  
North America Index

### Classified by Country

FTSE4Good  
UK Index

FTSE4Good  
USA Index

FTSE4Good  
Japan Index

### In Collaboration with Exchanges

FTSE4Good  
ASEAN 5 Index

FTSE4Good Bursa  
Malaysia Index

FTSE4Good  
BIVA Index

FTSE4Good TIP  
Taiwan ESG Index

# FTSE4Good Assessment Criteria



## Governance

### Theme

Anti-Corruption

Corporate Governance

Risk Management

Tax Transparency



## Social

### Theme

Customer Responsibility

Health and Safety

Human Rights and Community

Labor Standards

Supply Chain



## Environmental

### Theme

Biodiversity

Climate Change

Pollution and Resources

Supply Chain

Water Security

# FTSE4Good Assessment Criteria



## ESG Scores by Third Party



### ข้อมูลคะแนน ESG

#### โดย Third Party

ข้อมูลจากผู้ประเมินผลการดำเนินงานด้านความยั่งยืนระดับโลกที่เป็นพันธมิตรของตลาดหลักทรัพย์ฯ ในปัจจุบัน

1. MSCI (ผลประเมินตั้งแต่ CCC ถึง AAA)
2. ESG Book (ผลประเมิน 0-100 คะแนน)
3. Refinitiv (ผลประเมิน 0-100 คะแนน)
4. S&P Global (ผลประเมิน 0-100 คะแนน)
5. Moody's ESG Solutions (ผลประเมิน 0-100 คะแนน)



# ESG Assessment Results Published on www.SETTRADE.com<sup>45</sup>

หน้าหลัก > หุ้น > ข้อมูลหุ้นยั่งยืน (ESG Investment) > ผลการประเมิน ESG

## ข้อมูลหุ้นยั่งยืน (ESG Investment)

รู้จัก ESG

ESG Index

ผลการประเมิน ESG (Ratings)

ESG Knowledge

**settrade**  
www.settrade.com

## ผลการประเมิน ESG

จำนวน 299 รายการ

ผู้ประเมินผลการดำเนินงานด้านความยั่งยืน

ทั้งหมด

			SET ESG Ratings	ESG Risk Level	Global ESG Ratings by Third Party									
			SET ESG Ratings <a href="#">🔗</a>		Morningstar Sustainability <a href="#">🔗</a>	ESG Book <a href="#">🔗</a>		Moody's ESG Solutions <a href="#">🔗</a>		MSCI <a href="#">🔗</a>		Refinitiv <a href="#">🔗</a>		S&P Global <a href="#">🔗</a>
Symbol	Company	Industry / Sector		ESG Risk	ESG	Rank	ESG	Rank	ESG	Rank	ESG	Rank	ESG	Rank
☆ <a href="#">AAV</a>	บริษัท เอเชีย เออีอิน จำกัด...	SERVICE/TRANS	-	Low	51.26	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	40.02	<a href="#">🔒</a>	-	<a href="#">🔒</a>
☆ <a href="#">ACE</a>	บริษัท เอเชีย โออิ้ง จำกัด...	RESOURC/ENERG	AA	High	63.71	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	38.88	<a href="#">🔒</a>	-	<a href="#">🔒</a>
☆ <a href="#">ADB</a>	บริษัท เอเชีย โออิ้ง จำกัด...	INDUS/INDUS	A	-	37.8	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>
☆ <a href="#">ADVANC</a>	บริษัท เอเชีย โออิ้ง จำกัด...	TECH/ICT	AAA	Medium	60.72	<a href="#">🔒</a>	42	<a href="#">🔒</a>	AA	<a href="#">🔒</a>	75.08	<a href="#">🔒</a>	85	<a href="#">🔒</a>
☆ <a href="#">AEONTS</a>	บริษัท เอเชีย โออิ้ง จำกัด...	FINCIAL/FIN	-	Medium	40.05	<a href="#">🔒</a>	-	<a href="#">🔒</a>	BBB	<a href="#">🔒</a>	33.59	<a href="#">🔒</a>	16	<a href="#">🔒</a>
☆ <a href="#">AGE</a>	บริษัท เอเชีย โออิ้ง จำกัด...	RESOURC/ENERG	BBB	-	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>
☆ <a href="#">AH</a>	บริษัท เอเชีย โออิ้ง จำกัด...	INDUS/AUTO	A	-	47.84	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	33.98	<a href="#">🔒</a>	-	<a href="#">🔒</a>
☆ <a href="#">AJ</a>	บริษัท เอเชีย โออิ้ง จำกัด...	INDUS/PKG	AAA	-	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>	-	<a href="#">🔒</a>

## M3S3

### Module 3 ESG Disclosure

#### Session 3 ESG Performance Assessment and Indices

1. Local ESG Assessment and Indices
2. Global ESG Assessment and Indices
- 3. Sustainable Investment**

# Sustainable Investment

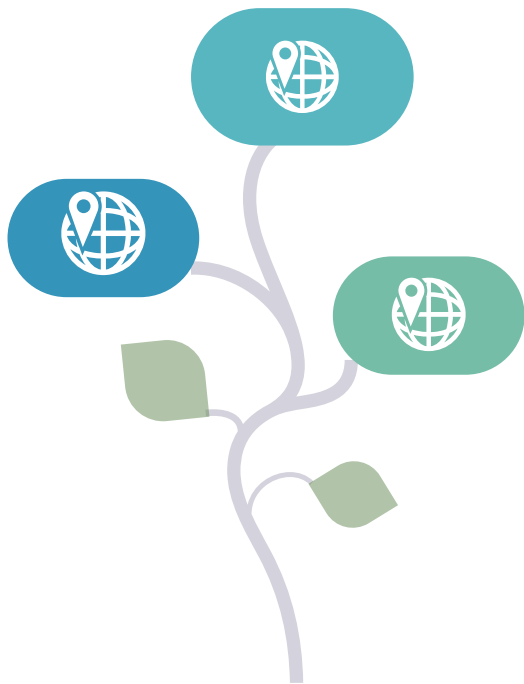
"Sustainable investment" refers to an investment approach that considers not only financial returns but also the environmental, social, and governance (ESG) impact of investment decisions.

This strategy seeks to generate long-term financial returns while also promoting positive outcomes for society and the planet.

Sustainable investment involves integrating ESG criteria into the investment analysis and decision-making process, aiming to support businesses and projects that contribute to a more sustainable and equitable world.

# Origin of the Sustainable Investment Trend

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## The World is Changing

Macro sustainability challenges, rising complexity,  
accelerating pace of change,  
Rising business standards

## Investors are Changing

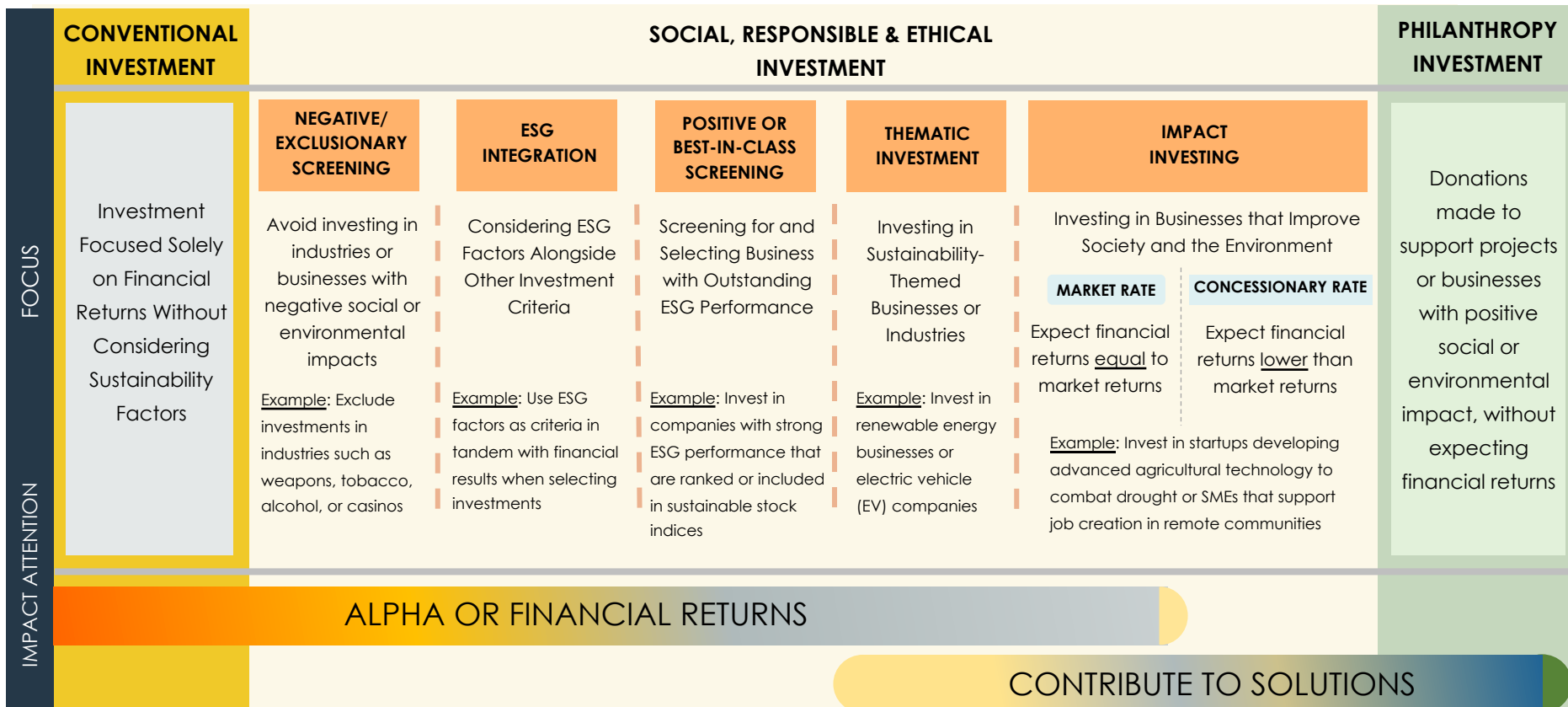
Shifting investor preferences, demographics change, rising  
investor expectations

## Data & Analytics are Evolving

Possible to measure what was previously unmeasurable

# Sustainable Investment Concepts

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## Misunderstandings About Sustainable Investment

### 1. A Short-Term Trend

2. Low returns
3. Limited Investment Options
4. Complex and Difficult To Understand

- Sustainable investing has been gaining attention for over 50 years
- The size of Assets Under Management (AUM) and investment flows that consider sustainability have continuously increased
- New generations of investors place greater importance on sustainable investing

## Misunderstandings About Sustainable Investment

1. A Short-Term Trend

2. Low returns

3. Limited Investment Options

4. Complex and Difficult To Understand

- Mutual funds that consider sustainability factors provide returns similar to those of funds that do not consider sustainability, both in the short and long term.

## Misunderstandings About Sustainable Investment

1. A Short-Term Trend

2. Low returns

3. Limited Investment Options

4. Complex and Difficult To Understand

- A variety of sustainable investment products are available
- Sustainable Stocks and Indices
- Sustainable Mutual Funds
- Green / Social / Sustainability Bond



## Misunderstandings About Sustainable Investment

1. A Short-Term Trend

2. Low returns

3. Limited Investment Options

4. Complex and Difficult To Understand

- Listed companies are increasingly disclosing sustainability information to aid investor decision-making
- Numerous data providers globally collect and aggregate sustainability information for investors
- Hundreds of rating agencies worldwide assess sustainability data to assist investors in analysis and decision-making

## Why sustainable investment is considered a good strategy?

- Financial Benefits: Achieve strong financial returns in the short and long-term
- Manage Risk: Integrating ESG criteria helps identify potential risks that traditional financial analysis might overlook.
- Value-Based Investing: Sustainable investing allows individuals to align their investment portfolios with their personal values and ethical beliefs.
- Value Creation: Driving up the value of companies with strong ESG practices
- Positive Impact: Sustainable investments contribute to positive social outcomes such as improved labor practices, enhanced community development, and better access to essential services.
- Enhanced Engagement: Investors in sustainable investing often engage more actively with the companies they invest in, advocating for improved ESG practices and greater transparency.

# Key Takeaways

1. ESG assessments serve as a benchmark for evaluating and comparing corporate ESG performance, facilitating enhancements in governance, environmental, and social practices to provide investors with crucial data for informed, sustainable investment decisions.
2. ESG assessment outcomes are integral to the construction of ESG indices, which serve as benchmarks for measuring corporate performance within specific ESG indices.
3. The selection of ESG assessment outcomes and indices should be aligned with the objectives and requirements of users and investors, ensuring they meet the needs of sustainable investment strategies.

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